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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/599,605	10/03/2006	Karl-Heinz Schmezer	605127	1531
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GUIDRUN E. HUCKETT DRAUDT				
SCHUBERTSTR. 15A				
WUPPERTAL, 42289				
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EXAMINER				
BOWES, STEPHEN M				
ART UNIT		PAPER NUMBER		
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/599,605

**Applicant(s)**

SCHMEZER, KARL-HEINZ

**Examiner**

STEPHEN BOWES

**Art Unit**

3657

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 03 October 2006.  
2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.  
3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 9-16 is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.  
6) ☒ Claim(s) 9-16 is/are rejected.  
7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.  
8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.  
10) ☒ The drawing(s) filed on 10-3-2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☒ All b) ☐ Some \* c) ☐ None of:  
1. ☒ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)  
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)  
3) ☒ Information Disclosure Statement(s) (PTO/IS/D)  
Paper No(s)/Mail Date 10-3-2006

- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_  
5) ☐ Notice of Informal Patent Application  
6) ☐ Other: \_\_\_\_\_

**DETAILED ACTION**

***Claim Rejections - 35 USC § 112***

1. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

2. Claims 9-16 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Applicant defines the same physical object as distinct elements. Examples include chain links and swivel elements, thrust bolts and drive members. Applicant also claims "an adjusting force...the chain links are moved into a compression-resistant position and returned from the compression-resistant position". Although this suggests the sprocket makes the chain incompressible as it passes over, the opposite is true. The chain only bends in one direction and that is when it passes over the sprocket.

***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 9-12 and 15-16 are rejected under 35 U.S.C. 102(b) as being anticipated by Schmezer (EP 1,382,882).

As per claim 9, Schmezer discloses a compression-resistant drive chain (Abstract) for an adjusting device (Abstract), the drive chain comprising: chain links (6,

7, Fig. 4); connecting plates (21) pivotably connecting the chain links to one another; wherein the chain links are comprised of swivel elements (6, 7) having bearing surfaces (A) that are at least partially complementary in a longitudinal chain direction and have sliding surfaces (G) that form at least over portions thereof an arc contour, respectively; a sprocket wheel (5); thrust bolts (14) oriented transversely to the longitudinal chain direction, wherein the sprocket wheel acts through the thrust bolts on the chain links (14) and wherein the thrust bolts engage between two of said swivel elements neighboring one another (14), respectively, in an area of the arc contours, wherein two of the arc contours are arranged opposed to one another for receiving the thrust bolts, respectively (8); wherein an adjusting force of the sprocket wheel is introduced through the thrust bolts into the drive chain in such a way that the chain links are moved into a compression-resistant position and returned from the compression-resistant position (Fig. 1, 4).

As per claim 10, Schmezer discloses the compression-resistant drive chain according to claim 9, wherein the thrust bolts, in an advancing direction and in a return direction of the drive chain, each define a sliding surface pair (G, [0020]), wherein the thrust bolts each have a circumferential surface resting at least partially against one of said two opposed arc contours (8), wherein the arc contours are concave (8, Fig. 10), and wherein the adjusting force of the sprocket wheel is reversible within the drive chain in said sliding surface pair (Fig. 1, 4).

As per claim 11, Schmezer discloses the compression-resistant drive chain according to claim 10, wherein the thrust bolts have ends connected by the connecting

plates (21), wherein the swivel elements are arranged in pairs so as to form two parallel rows in the longitudinal chain direction (Fig. 13), wherein the sprocket wheel is placed between the two rows of the swivel elements against at least one of the thrust bolts transversely to the longitudinal chain direction (Fig. 15, 24).

As per claim 12, Schmezer discloses the compression-resistant drive chain according to claim 10, wherein said two opposed arc contours provide a substantially wear-free and friction-free support for the thrust bolts, respectively ([0027]).

As per claim 15, Schmezer discloses the compression-resistant drive chain according to claim 10, wherein the bearing surfaces of the swivel elements are placed against one another in the longitudinal chain direction (Fig. 13) and have partial areas (G, Fig. 10; G', Fig. 11), respectively, that adjoin in a common plane the arc contours and have a complementary shape (G, G'), which partial areas in the compression-resistant position of the drive chain are placed against one another as a positive-locking profile (Fig. 21).

As per claim 16, Schmezer discloses the compression-resistant drive chain according to claim 9, further comprising spacers (25, 25', Fig. 22) that connect the swivel elements in a direction transversely to the longitudinal chain direction (25, 25').

### ***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 13 and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schmezer (EP 1,382,882) in view of Springman (US 4,930,620).

As per claim 13, Schmezer discloses the compression-resistant drive chain according to claim 10. However, Schmezer fails to disclose sliding elements, matched to a shape of the arc contours, provided in the area of the sliding surface pair between the thrust bolts and the swivel elements, respectively. Springman discloses an article carrying member for a conveyor chain comprising disclose sliding elements (52, Fig. 2), matched to a shape of the arc contours, provided in the area of the sliding surface pair between the thrust bolts and the swivel elements, respectively (52). Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the pins of Schmezer by enclosing them in split sleeves as taught by Springman in order to reduce friction.

As per claim 14, Schmezer and Springman disclose the compression-resistant drive chain according to claim 13. Springman further discloses wherein the sliding elements are sleeve segments each having an inner circular arc-shaped wall surface placed onto the thrust bolts (52), respectively, and each having an outer U-shaped profile (52) comprising a basic arc placed against the arc contour and further comprising legs (52) placed laterally against the swivel elements.

### ***Conclusion***

7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

a. Chain with U-sleeve

- i. Christmann et al. (US 5702044), 12/30/1997.
  - ii. Cheesman et al. (US 5222920), 6/29/1993.
  - iii. Michalik (US 4388990), 6/21/1983.
  - b. Compression chain
    - iv. Worsley (US 5042244), 8/27/1991.
    - v. Patrignani (US 3831455), 8/27/1974.
    - vi. Nagin (US 3672237), 6/27/1972.
    - vii. Curran (US 3575283), 4/20/1971.
    - viii. Herbert et al. (US 3157376), 11/17/1964.
    - ix. Gilbert (US 2975807), 3/21/1961.
8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to STEPHEN BOWES whose telephone number is (571) 270-5787. The examiner can normally be reached on M-F 7:30am-5:00pm, alternating Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert Siconolfi can be reached on (571) 272-7124. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Bradley T King/  
Primary Examiner, Art Unit 3657

/STEPHEN BOWES/  
Examiner, Art Unit 3657